



# भारत का राजपत्र

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No. 7] NEW DELHI, SATURDAY, FEBRUARY 13—FEBRUARY 19, 2010 (MAGHA 24, 1931)

इस भाग में भिन्न पृष्ठ संख्या दी जाती है जिससे कि यह अलग संकलन के रूप में रखा जा सके।

(Separate paging is given to this Part in order that it may be filed as a separate compilation)

भाग IV  
[PART IV]

[गैर-सरकारी व्यक्तियों और गैर-सरकारी संस्थाओं के विज्ञापन और सूचनाएं]

[Advertisements and Notices issued by Private Individuals and Private Bodies]

NOTICE

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BY ORDER

*Controller of Publication*

CHANGE OF NAME

I, hitherto known as Dr. VIJAY KUMAR son of Sh. CHHAJU RAM, employed as Senior Research Associate (Pool Officer), Department of Medicine, at All India Institute of Medical Sciences, New Delhi, residing at 269/9, Dr. Ambedkar Colony, Dadri Gate, Bhiwani, Haryana, have changed my name and shall hereafter be known as Dr. VIJAY HADDA.

It is certified that I have complied with other legal requirements in this connection.

VIJAY KUMAR  
[Signature (in existing old name)]

I, hitherto known as PUNAM RANI wife of Sh. ARUN KUMAR GUPTA, residing at 94-D, DDA Flats, L.I.G, Gulabi Bagh, Delhi-110007, have changed my name and shall hereafter be known as DEEPALI GUPTA.

It is certified that I have complied with other legal requirements in this connection.

PUNAM RANI  
[Signature (in existing old name)]

I, hitherto known as LAL SINGH son of Sh. DANDUP CHHERING NEGI, employed as NK/GD, in the 2nd Bn. SSB Gorakhpur, residing at the 2nd Bn. SSB Gorakhpur (U.P.), have changed my name and shall hereafter be known as LALIT MOHAN NEGI.

It is certified that I have complied with other legal requirements in this connection.

LAL SINGH  
[Signature (in existing old name)]

I, hitherto known as GULSHAN KUMAR son of Late PRAHALAD CHAND, residing at WZ-81/4, Guru Nanak

Nagar, Gali No. 1-A, New Delhi-110018, have changed my name and shall hereafter be known as GULSHAN SINGH.

It is certified that I have complied with other legal requirements in this connection.

GULSHAN KUMAR  
[Signature (in existing old name)]

I, hitherto known as MANISH HANDA son of Sh. ARVIND HANDA, residing at 57, Raja Garden New Delhi-110015, have changed my name and shall hereafter be known as ASHISH HANDA.

It is certified that I have complied with other legal requirements in this connection.

MANISH HANDA  
[Signature (in existing old name)]

I, hitherto known as CHANDER SINGH son of Sh. SULTAN SINGH, a retired A.D. in the Delhi Development Authority (D.D.A.), residing at WZ-89, Budhela Vikas Puri, New Delhi-110018, have changed my name and shall hereafter be known as CHANDER SINGH SHEKHAR.

It is certified that I have complied with other legal requirements in this connection.

CHANDER SINGH  
[Signature (in existing old name)]

I, hitherto known as SHRESTH KUMAR son of Sh. SUDERSHAN SINGH, employed as Manager (Ces-Mechanical in Reliance Industries Ltd., Patalganga), residing at O-419, Sector-30, Jalvayu Vihar, Gurgaon, Haryana-122001, have changed my name and shall hereafter be known as SHRESTH KUMAR YADAV.

It is certified that I have complied with other legal requirements in this connection.

SHRESTH KUMAR  
[Signature (in existing old name)]

I, hitherto known as AVNEET SINGH son of Sh. SATNAM SINGH, Practicing Advocate in the Karkardooma Court, residing at E-57, 1st Floor (Back-Side), Jhilmil Colony, Delhi-110095, have changed my name and shall hereafter be known as AVNEET SINGH JUNEJA.

It is certified that I have complied with other legal requirements in this connection.

AVNEET SINGH  
[Signature (in existing old name)]

I, hitherto known as HARINDER SINGH son of Sh. MAHAVIR SINGH, residing at B-3, Block-B, New Police Lines, Kingsway Camp, Delhi-110009, have changed my name and shall hereafter be known as TATA G.

It is certified that I have complied with other legal requirements in this connection.

HARINDER SINGH  
[Signature (in existing old name)]

I, hitherto known as SUDESH BENIWAL daughter of Sh. SARJEET BENIWAL, employed as Service co-ordinator in RSG Infotech (P) Ltd., residing at 452, IA D Block Sangam Vihar Ratiya Marg, New Delhi-110062, have changed my name and shall hereafter be known as GAURANGI BENIWAL.

It is certified that I have complied with other legal requirements in this connection.

SUDESH BENIWAL  
[Signature (in existing old name)]

I, hitherto known as MOHAMMED SAMI son of Late SHABBIR AHMED, residing at 676, Chitla Gate, Chawari Bazar, Delhi-110006, have changed my name and shall hereafter be known as ABDUL SAMI.

It is certified that I have complied with other legal requirements in this connection.

MOHAMMED SAMI  
[Signature (in existing old name)]

I, hitherto known as SAHIL GUPTA son of Sh. H. K. GUPTA, employed as Associate Health Advisor in the ICICI Life Prudential Pvt. Ltd., residing at B-138, Sector-41, Noida-201301 (UP), have changed my name and shall hereafter be known as LAKSHYA GUPTA.

It is certified that I have complied with other legal requirements in this connection.

SAHIL GUPTA  
[Signature (in existing old name)]

I, hitherto known as PRIYAMMALPURAM YESUDASAN SUBHASHCHANDRA BOSE son of Sh. YESUDASAN, employed as Staff Car Driver in the Office of the Principal Accountant General (Audit)I, Maharashtra, Pratishtha Bhawan, 101, M.K. Road, Mumbai 400057, residing at 121/C, Lekha Vihar, Sector-5, Charkop, Kandivali (West), Mumbai-400067, have changed my name and shall hereafter be known as SUBHASH YESUDASAN PRIYAMMALPURAM.

It is certified that I have complied with other legal requirements in this connection.

PRIYAMMALPURAM YESUDASAN  
SUBHASHCHANDRA BOSE  
[Signature (in existing old name)]

I, hitherto known as PRINCIE wife of Sh. NITIN MITTAL residing at 91, Pocket B, Phase I, SFS Sheikh Sarai New Delhi-110017, have changed my name and shall hereafter be known as DISHA JAIN.

It is certified that I have complied with other legal requirements in this connection.

PRINCIE  
[Signature (in existing old name)]

I, hitherto known as T. V. SESHAMBAL wife of Sh. K. RAMASUBRAMANIAN, residing at 848, 'F', Type-III Quarters, Timarpur, Delhi-110054, have changed my name and shall hereafter be known as PUSHPA SUBRAMANIAN.

It is certified that I have complied with other legal requirements in this connection.

T. V. SESHAMBAL  
[Signature (in existing old name)]

I, hitherto known as HARI DATT son of Sh. KRISHAN DUTT, employed as Advocate in the Tis Hazari Court, residing at Vill. Palri Khurd, P.O. Jhundpur, Distt. Sanepat, have changed my name and shall hereafter be known as HARI DATT SHARMA.

It is certified that I have complied with other legal requirements in this connection.

HARI DATT  
[Signature (in existing old name)]

I, hitherto known as BUNNY SAHNI son of Sh. RAVINDER SINGH SAHNI, residing at 267, Joshi Road, Karol Bagh, New Delhi, have changed my name and shall hereafter be known as DILVEERR SINGH SAHNI.

It is certified that I have complied with other legal requirements in this connection.

BUNNY SAHNI  
[Signature (in existing old name)]

I, hitherto known as GINNI wife of Sh. HARPREET SINGH GROVER, residing at BG-1/103, Paschim Vihar, Delhi-110063, have changed my name and shall hereafter be known as DAMANPREET KAUR GROVER.

It is certified that I have complied with other legal requirements in this connection.

GINNI  
[Signature (in existing old name)]

I, hitherto known as NAVNEET GOEL son of Sh. VIJENDER KUMAR, residing at 32, Samman Bazar Lane, Jangpura, Bhogal, New Delhi-110014, have changed my name and shall hereafter be known as NAVNEET GUPTA.

It is certified that I have complied with other legal requirements in this connection.

NAVNEET GOEL  
[Signature (in existing old name)]

I, hitherto known as RAJ KUMAR son of Sh. BANKE LAL, Auto Driver in the N.C.T. of Delhi, residing at F-10, Jagat Puri, Delhi-110051, have changed my name and shall hereafter be known as RAJBIR SINGH.

It is certified that I have complied with other legal requirements in this connection.

RAJ KUMAR  
[Signature (in existing old name)]

I, hitherto known as K. GOPAL @ GOPALA KRISHNAN son of Sh. V. K. KRISHNAN, residing at 53, Parivar Apartment, 30, I. P. Extension, Delhi-110092, have changed my name and shall hereafter be known as GOPAL KRISHNAN.

It is certified that I have complied with other legal requirements in this connection.

K. GOPAL @ GOPALA KRISHNAN  
[Signature (in existing old name)]

I, hitherto known as ANUJ MOHLA son of Sh. KRISHAN SHARMA, residing at ER-29 (Top Floor) Inderpuri, Delhi-110012, have changed my name and shall hereafter be known as ANUJ SHARMA.

It is certified that I have complied with other legal requirements in this connection.

ANUJ MOHLA  
[Signature (in existing old name)]

I, hitherto known as SONIA PAHWA daughter of Sh. R. P. PAHWA, residing at B-57, Jeevan Niketan, New Delhi-110087, have changed my name and shall hereafter be known as SONIYAA PAHWVA.

It is certified that I have complied with other legal requirements in this connection.

SONIA PAHWA  
[Signature (in existing old name)]

I, hitherto known as UMA RANA wife of Sh. TARUN DUTT, residing at 101-C, Block C-2B, Janakpuri,

New Delhi-110058, have changed my name and shall hereafter be known as UPMA DUTT.

It is certified that I have complied with other legal requirements in this connection.

UMA RANA  
[Signature (in existing old name)]

I, hitherto known as P. U. NISHA wife of Sh. ASHISH SHARMA, residing at H-1/129, 2nd Floor, Sec. 16, Rohini, New Delhi-110085, have changed my name and shall hereafter be known as NISHA SHARMA.

It is certified that I have complied with other legal requirements in this connection.

P. U. NISHA  
[Signature (in existing old name)]

I, hitherto known as MAHABOOB BHALBHAL son of ISMAIL, employed as Senior, Telecom Office Assistance (General) in the Bharat Sanchar Nigam Limited, Office of General Manager Telecom, District Bijapur, residing at 17-B, MIG Indira Nagar, J.M. Road, Housing Board Colony, Bijapur City, Karnataka State, have changed my name and shall hereafter be known as MAHABOOB ISMAIL KALADGI.

It is certified that I have complied with other legal requirements in this connection.

MAHABOOB BHALBHAL  
[Signature (in existing old name)]

I, ATUL DATTA son of Sh. ZUBAIR MASIH DATTA, residing at B-544/A, Enclave, Behind 1st Center, Vasant Kunj, New Delhi, have changed the name of my minor daughter ASHIMA GOSWAMI aged 16 years and she shall hereafter be known as ASHIMA DATTA.

It is certified that I have complied with other legal requirements in this connection.

ATUL DATTA  
[Signature of Guardian]

I, hitherto known as SHAMSUJJAMA son of ALLAUDDIN FAROOQUI, residing at K-374, Sunder Nagri, Delhi-110093, have changed my name and shall hereafter be known as SHAMS FAROOQUI.

It is certified that I have complied with other legal requirements in this connection.

SHAMSUJJAMA  
[Signature (in existing old name)]

I, hitherto known as SALMA daughter of Sh. KARAM SINGH wife of Sh. DEEPAK, employed as Woman Constable in Delhi Police, residing at VPO Khewra, Distt. Sonepat, Haryana, have changed my name and shall hereafter be known as JYOTI.

It is certified that I have complied with other legal requirements in this connection.

SALMA  
[Signature (in existing old name)]

I, hitherto known as NAresh KUMAR son of Sh. MADAN LAL, employed as Peon in Department of Economic Affairs, Ministry of Finance, Government of India, North Block, New Delhi-110001, residing at Village & Post Jatola, Distt. Gurgoan, Haryana, have changed my name and shall hereafter be known as NAresh KUMAR SAINI.

It is certified that I have complied with other legal requirements in this connection.

NAresh KUMAR  
[Signature (in existing old name)]

I, hitherto known as PRAVEEN KUMAR son of Sh. RAMESH PRAKASH, employed as Project Manager in the K. L. G. Systel Ltd., residing at D-11/1, Gopal Nagar, Najafgarh, New Delhi-110043, have changed my name and shall hereafter be known as PRAVEEN AGGARWAL.

It is certified that I have complied with other legal requirements in this connection.

PRAVEEN KUMAR  
[Signature (in existing old name)]

I, hitherto known as LOKESH KUMAR son of Sh. VEER RAJU, residing at L/L-14, Unit No. 2, Mathurakati, Post-Nimpura, P.S.-Kharagpur(T), Distt-Paschim Medinipur (W.B.), have changed my name and shall hereafter be known as DODDI LOKESH KUMAR.

It is certified that I have complied with other legal requirements in this connection.

LOKESH KUMAR  
[Signature (in existing old name)]

I, hitherto known as RAJESH KUMAR son of Sh. VEER RAJU, residing at L/L-14, Unit No. 2, Mathurakati, Post-Nimpura, P.S.-Kharagpur(T), Distt-Paschim Medinipur (W.B.), have changed my name and shall hereafter be known as DODDI RAJESH KUMAR.

It is certified that I have complied with other legal requirements in this connection.

RAJESH KUMAR  
[Signature (in existing old name)]

I, hitherto known as MADHURI GUPTA daughter of HARI NARAIN GUPTA, residing at C-1/45, Ashok Nagar, PH-II, Delhi-110052, have changed my name and shall hereafter be known as SUNAKSHI GUPTA.

It is certified that I have complied with other legal requirements in this connection.

MADHURI GUPTA  
[Signature (in existing old name)]

I, hitherto known as RENU CHAUDHARY daughter of HARBANS LAL MAHAJAN, employed as Wing Commander (MR-07976 M) (AF-27656-T) ENT Department, Air Force Hospital, Nathu Singh Road, Kanpur Cantt.-04, residing at 92/2, Doctors' Enclave, Nathu Singh Road, Kanpur Cantt.-04, have changed my name and shall hereafter be known as RENU RAJGURU.

It is certified that I have complied with other legal requirements in this connection.

RENU CHAUDHARY  
[Signature (in existing old name)]

I, hitherto known as KAMLESH RAMA BALMIKI wife Sh. RAMA BHARTU BHADAD, employed as Safai machari in the Cantonment Board Dehuroad, residing at "ram Safalya", Near Kudale Chawl, Vikas Nagar, Dehuroad, 412 101, have changed my name and shall hereafter be known as KAMLESH RAMA BHADAD.

It is certified that I have complied with other legal requirements in this connection.

KAMLESH RAMA BALMIKI  
[Signature (in existing old name)]

I, hitherto known as SUBODH KUMAR son of HANSRAJ SINGH, employed as Asstt., Sub-Inspector/ in, in the Central Industrial Security Force (Under the Ministry of Home Affairs), residing at Village : Pilkhuniya, Dist. : Tochigarh, Distt.: Aligarh, State : Uttar Pradesh , have changed my name and shall hereafter be known as SUDHARY SUBODH KUMAR.

It is certified that I have complied with other legal requirements in this connection.

SUBODH KUMAR  
[Signature (in existing old name)]

I, hitherto known as NIDHI SHARMA wife of Sh. AMIT SATIJA, IAS, residing at C/o Shri Ramesh Lal, IAS, Arrah Garden Road, Jagdeo Path, Patna-14, have changed my name and shall hereafter be known as NIDHI AMIT SATIJA.

It is certified that I have complied with other legal requirements in this connection.

NIDHI SHARMA  
[Signature (in existing old name)]

I, hitherto known as ANJAMMA. LAHURI daughter of Sh. SAPLA NAIK. LAHURI, employed as Assistant Commercial Tax Officer, Macherla, residing at Macherla [Guntur (D.T.)], have changed my name and shall hereafter be known as ANJANA. LAHURI .

It is certified that I have complied with other legal requirements in this connection.

ANJAMMA. LAHURI  
[Signature (in existing old name)]

I, hitherto known as BRIJ MOHAN ARORA son of Late SITA RAM ARORA, employed as Mech. Master Crafts Man in the Govt. of India, Ministry of Defence, Vehicle Factory, Jabalpur-482005 (M.P.), residing at HIG-A/18, Subhash Nagar, H.B. Colony, Maharajpur, (Vill. & Tahsil, Dist. Jabalpur) , have changed my name and shall hereafter be known as BRIJ MOHAN ARORA.

It is certified that I have complied with other legal requirements in this connection.

BRIJ MOHAN ARORA  
[Signature (in existing old name)]

I, hitherto known as SOHAG DILIP DATTA @ SOHAG DATTA wife of Sh. SHOUNAK ROY CHOWDHURY daughter of Sh. DILIP DATTA, residing at JD-35A, Pitam Pura, Delhi-110034, have changed my name and shall hereafter be known as SOHAG DATTA ROY CHOWDHURY.

It is certified that I have complied with other legal requirements in this connection.

SOHAG DILIP DATTA @ SOHAG DATTA  
[Signature (in existing old name)]

I, hitherto known as SURENDRA PAL son of Sh. KALI RAM, employed as Asstt. Accounts Officer in the O/o The Zonal Officer (PD) Delhi Cantt. (Defence Accounts Department), residing at G-62, Sarojini Nagar, New Delhi, have changed my name and shall hereafter be known as SURENDRA PAL SINGH.

It is certified that I have complied with other legal requirements in this connection.

SURENDRA PAL  
[Signature (in existing old name)]

I, hitherto known as RAM MURTI alias RAM MURTI AGGARWAL alias R. M. AGGARWAL son of Late RADHA KRISHAN, aged 83 years residing at 1220, Chah Rahat, Jama Masjid, Delhi-110006, have changed my name and shall hereafter be known as RAM MURTI.

It is certified that I have complied with other legal requirements in this connection.

RAM MURTI alias RAM MURTI AGGARWAL  
alias R. M. AGGARWAL  
[Signature (in existing old name)]

I, hitherto known as VINEET KUMAR alias VINEET KUMAR AGGARWAL alias V. K. AGGARWAL son of Sh. RAM MURTI, employed as Clerk in Canara Bank, International Banking Branch, A-27, Hauz Khas, New Delhi-110016, residing at 1220, Chah Rahat, Jama Masjid, Delhi-110006, have changed my name and shall hereafter be known as VINEET KUMAR.

It is certified that I have complied with other legal requirements in this connection.

VINEET KUMAR alias VINEET KUMAR AGGARWAL  
alias V. K. AGGARWAL  
[Signature (in existing old name)]

I, hitherto known as VEENA SAGAR wife of Sh. VIDYA SAGAR, Proprietor in Sun Moon Coaching Centre, residing at C-319, Pragati Vihar Hostel, Lodhi Road Complex, New Delhi-110003, have changed my name and shall hereafter be known as VEENA SAGAR VASHIST.

It is certified that I have complied with other legal requirements in this connection.

VEENA SAGAR  
[Signature (in existing old name)]

I, hitherto known as VIDYA SAGAR son of Sh. LAXMI NARAIN, employed as Proprietor in M. S. Constructions, residing at C-319, Pragati Vihar Hostel, Lodhi Road Complex, New Delhi-110003, have changed my name and shall hereafter be known as VIDYA SAGAR VASHIST.

It is certified that I have complied with other legal requirements in this connection.

VIDYA SAGAR  
[Signature (in existing old name)]

I, hitherto known as RAJESH JOSHI son of Late RAWAT MAL JOSHI, residing at C-163, Jawahar Park, Khanpur, New Delhi-110062, have changed my name and shall hereafter be known as BHUVNESH JOSHI.

It is certified that I have complied with other legal requirements in this connection.

RAJESH JOSHI  
[Signature (in existing old name)]

I, hitherto known as NIHARIKA BAGRI daughter of Sh. BRIJ RATTAN BAGRI, employed as V. P. Business Development in B.L.B. Ltd., New Delhi, residing at 4318/3, Ansari Road, Darya Ganj, New Delhi-110002, have changed my name and shall hereafter be known as RAMA BAGRI.

It is certified that I have complied with other legal requirements in this connection.

NIHARIKA BAGRI  
[Signature (in existing old name)]

I, hitherto known as RAJKUMAR HARIJAN son of Sh. LAGASEPPA, employed as Constable in Central Industrial Security Force (Kar), residing at CISF Unit/KGS Kaiga at Post Mallapur-Karwar, Uttara Kannada, Pin-581400, have changed my name and shall hereafter be known as RAJKUMAR SHAVNT.

It is certified that I have complied with other legal requirements in this connection.

RAJKUMAR HARIJAN  
[Signature (in existing old name)]

I, hitherto known as CHETRAM son of Late RAM CHANDRA, employed as Deputy Controller of Accounts, Bhabha Atomic Research Centre, Mumbai residing at B-23, Kapilvastu, Anushaktinagar, Mumbai 400094, have changed my name and shall hereafter be known as CHETRAM SINGH.

It is certified that I have complied with other legal requirements in this connection.

CHETRAM  
[Signature (in existing old name)]

I, hitherto known as K. FATHIMATHU daughter of Sh. K. ALVI, residing at Wimberligunj, South Andaman, have changed my name and shall hereafter be known as SHERLIN CHARLES.

It is certified that I have complied with other legal requirements in this connection.

K. FATHIMATHU  
[Signature (in existing old name)]

I, hitherto known as AARTI CHAUDHARY wife of ABDULLA RASHED NOOBI ALNOOBI, residing at 80-A, Kallu Mohalla, Garhi, East of Kailash, New Delhi-110065, have changed my name and shall hereafter be known as Mrs. RASHIDA NOOBI.

It is certified that I have complied with other legal requirements in this connection.

AARTI CHAUDHARY  
[Signature (in existing old name)]

#### CHANGE OF RELIGION

I, K. FATHIMATHU daughter of Sh. K. ALVI, residing at Wimberligunj, South Andaman, do hereby solemnly affirm and declare that I have embraced CHRISTIANITY and renounced ISLAM with effect from the September, 2004 and have changed my name and shall hereafter be known as SHERLIN CHARLES.

It is certified that I have complied with other legal requirements in this connection.

K. FATHIMATHU  
[Signature]

I, AARTI CHAUDHARY wife of ABDULLA RASHED NOOBI ALNOOBI, residing at 80-A, Kallu Mohalla, Garhi, East of Kailash, New Delhi-110065, do hereby solemnly affirm and declare that I have embraced MUSLIM (ISLAM) and renounced HINDUISM with effect from 2nd October, 2009.

It is certified that I have complied with other legal requirements in this connection.

AARTI CHAUDHARY  
[Signature]

#### LOST & FOUND

I, NIRMALA NAISHADH PAREKH wife of Sh. NAISHADH KANTILAL PAREKH, residing at "Samata" Bldg, 9th Floor, Gen. J. B. Road, Nariman Point, Mumbai-400021, have lost original Government Promissory Note No. BCNO 21352 of 9% loan of Government of India (9% Relief Bonds 1999 Demat) for Rs. 50,000/- The use of this note by any person will be illegal.

It is certified that I have complied with other legal requirements in this connection.

NIRMALA NAISHADH PAREKH  
[Signature]

#### PUBLIC NOTICE

I, K. MANIKANDAN son of Sh. N. A. KRISHNAMOORTHY PILLAI, residing at Junglighat Village

under Port Blair Tehsil in the district of South Andaman, do hereby solemnly affirm and declare :—

1. That my name is MANIKANDAN and the same have been mentioned in my service records and other relevant documents and certificates.
2. That I would like to adopt the intial of traditional i.e. Pillai title insert after my name.
3. That henceforth I shall be known as K. MANIKANDAN PILLAI instead of K. MANIKANDAN.

It is certified that I have complied with other legal requirements in this connection.

K. MANIKANDAN  
[Signature]

I, DEEPAK son of Late SATPAL, residing at 476, Pooth Kalan, New Delhi-110086, declare that my mother's name has been wrongly written as ASHA in the CBSE Certificate and School record. Her correct name is MANJU which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

DEEPAK  
[Signature]

I, SAHABUDDIN son of MUSHTAQ KHAN, residing at B-119, Ambedkar Colony, Chattarpur, New Delhi-110074, do hereby declare for general information that my name has been wrongly written as SAHAB SINGH in my Driving Licence vide No. C03112004432596 and Bach No. 0310713, and Bank Account Pass Book No. 12625 and my vehicle No. DL1RK 1746. The actual name of mine SAHABUDDIN which may be amended accordingly.

It is certified that I have complied with other legal requirements in this connection.

SAHABUDDIN  
[Signature]

विवेक ट्रांसपोर्ट्स (भूतपूर्व सैनिक) प्राइवेट लिमिटेड

(सदस्यों द्वारा स्वेच्छा से बंद करना)

सूचना

यह सूचित किया जाता है कि विवेक ट्रांसपोर्ट्स (भूतपूर्व सैनिक) प्राइवेट लिमिटेड, पंजीकृत कार्यालय ए-5/1, बसंत विहार, नई दिल्ली-110057 की 15.01.2010 को पंजीकृत कार्यालय में एक्स्प्रॉ ओरडिनरी जनरल मीटिंग में सदस्यों ने स्वेच्छा से कम्पनी को बंद करने का निर्णय लिया है और इस कार्य हेतु मैसर्स ए.एल. सहगल एवं कम्पनी, चार्टर्ड एकाउण्टेंट को लिक्विडेटर नियुक्त किया गया है।

विवेक ट्रांसपोर्ट्स (भूतपूर्व सैनिक) प्राइवेट लिमिटेड द्वारा

दिनांक : 15.01.2010

स्थान : नई दिल्ली

विरेन्द्र अवस्थी

निदेशक

फार्म नं. 151

(नियम 315)

कम्पनी अधिनियम 1956

सदस्यों द्वारा स्वेच्छा से बन्द करना

सेक्षण 516 के अंतर्गत लिकिवडेटर की नियुक्ति की सूचना	
कम्पनी का नाम	: विवेक ट्रांसपोर्टर्स (भूतपूर्व सैनिक) प्राइवेट लिमिटेड
व्यवसाय का स्वरूप	: परिवहन
पंजीकृत कार्यालय का पता :	ए-5/1, बसंत विहार, नई दिल्ली-110057
लिकिवडेटर का नाम व पता :	ए. एल. सहगल और कम्पनी, 1102, प्रकाशदीप बिल्डिंग, 7, येलसट्रीट मार्ग, नई दिल्ली-110001
नियुक्ति की तिथि	: 15.01.2010
नियुक्ति द्वारा	: शेयर धारकों द्वारा 15.01.2010 को हुई इंजीएम में

यह प्रमाणित किया जाता है कि हमने इस संदर्भ में सभी कानूनी आवश्यकताओं के अनुरूप कार्य किया है।

विवेक ट्रांसपोर्टर्स (भूतपूर्व सैनिक) प्राइवेट लिमिटेड द्वारा  
दिनांक : 21.01.2010  
स्थान : नई दिल्ली

विशाल सहगल  
लिकिवडेटर

दिल्ली स्टॉक एक्सचेंज लिमिटेड

नई दिल्ली-110002

सेबी ने अपने परिपत्र सं. एमआईआरएसडी/डीआर-1/परिपत्र-16/09 तारीख नवम्बर 6, 2009(परिपत्र की कापी संलग्न) द्वारा एक्सचेंज को प्राधिकृत व्यक्तियों के माध्यम से बाजार का उपयोग करने संबंधित उप-विधि में जरूरी संशोधन करने की सलाह दी है।

दिल्ली स्टॉक एक्सचेंज नई उप-विधि सं. 199 कक्ष को डालने एवं उप-विधि सं 268 में प्रस्तावित संशोधन के संबंध में उन सभी व्यक्तियों से जो इससे प्रभावित हो सकते हैं उनको टिप्पणी/आलोचना आमंत्रित करता है। ऐसी टिप्पणी/आलोचना इस प्रकाशन की तारीख से 15 दिन के भीतर कार्यालय कंपनी सचिव, दिल्ली स्टॉक एक्सचेंज लिमिटेड, डीएसई हाउस 3/1, आसफ अली रोड, नई दिल्ली-110002 में अवश्य पहुंच जानी चाहिए। तदनुसार, प्रस्तावित संशोधन निम्न प्रकार है :

199 कक्ष प्राधिकृत व्यक्तियों के माध्यम से बाजार का उपयोग

क. प्राधिकृत व्यक्ति की परिभाषा

वह व्यक्ति-व्यक्ति, भागीदारी फर्म, एल. एल. पी. या बॉडी कारपोरेट (निगमित निकाय) जिसे स्टॉक ब्रोकर (ट्रेडिंग सदस्य सहित) द्वारा इस संबंध में नियुक्त किया जाता है और जो स्टॉक ब्रोकर के एजेंट के रूप में स्टॉक एक्सचेंज के ट्रेडिंग प्लेटफार्म के उपयोग की व्यवस्था करता है।

ख. प्राधिकृत व्यक्ति की नियुक्ति

स्टॉक ब्रोकर संबंधित स्टॉक एक्सचेंज से प्रत्येक व्यक्ति के लिए विशेष पूर्व अनुमति प्राप्त करके एक या एक से अधिक प्राधिकृत व्यक्ति

नियुक्त कर सकता है। अनुमोदन तथा नियुक्ति एक्सचेंज के उपयुक्त स्थान पर होनी चाहिए।

ग. नियुक्ति प्रक्रिया

1. स्टॉक ब्रोकर एक्सचेंज और इस फ्रेमवर्क में निर्धारित मापदंड का अनुपालन करके किसी व्यक्ति का प्राधिकृत व्यक्ति के रूप में नियुक्ति के लिए चयन करेगा और व्यक्ति को आवेदन को अनुमोदन करने के लिए स्टॉक एक्सचेंज को भेजेगा।

2. पूर्वोक्त आवेदन प्राप्त होने पर, स्टॉक एक्सचेंज :--

क. स्वयं संतुष्ट होने पर कि व्यक्ति प्राधिकृत व्यक्ति के रूप में नियुक्ति के लिए पात्र है, अनुमोदन प्रदान करेगा।

ख. स्वयं संतुष्ट होने पर कि व्यक्ति प्राधिकृत व्यक्ति के रूप में नियुक्ति के लिए पात्र नहीं है, अनुमोदन देने से इंकार करेगा।

घ. पात्रता मापदंड

1. कोई भी व्यक्ति प्राधिकृत व्यक्ति के रूप में नियुक्ति के लिए पात्र है, यदि--

क. वह भारत का नागरिक है।

ख. वह 18 वर्ष की आयु से कम आयु का नहीं है।

ग. उसे जालसाजी या बेर्इमानी जैसे किसी अपराध में दोषी ठहराया नहीं गया हो।

घ. वह प्रतिष्ठित और अच्छे चरित्र का हो।

ड. वह भारत सरकार द्वारा मान्यता प्राप्त किसी संस्था से कम से कम 10वीं या समकक्ष परीक्षा उत्तीर्ण है।

च. उसके पास संबंधित सेगमेंट के अनुमोदित प्रयोक्ता/सेल्स कार्मिक के लिए यथाप्रयोज्य प्रमाण हैं और वह उसके बाद वैध प्रमाण बनाए रखता है।  
(यदि सेबी विनियमों में ऐसा कोई प्रमाण निर्धारित नहीं है तो स्टॉक एक्सचेंज उपयुक्त प्रमाण निर्धारित करेगा)

2. भागीदारी फर्म, एल. एल. पी. या बॉडी कारपोरेट प्राधिकृत व्यक्ति के रूप में नियुक्ति के लिए पात्र हैं :--

क. यदि सभी भागीदार या निदेशक, यथास्थिति, उक्त खंड (1) में निहित अपेक्षाओं का पालन करते हैं।

ख. भागीदारी विलेख या संगम ज्ञापन के उद्देश्य खंड में व्यक्ति को प्रतिभूति कारोबार में लेन-देन की अनुमति देने वाला खंड निहित है।

3. ऐसे व्यक्ति के पास आवश्यक बुनियादी सुविधाएं होंगी जैसे पर्याप्त कार्यालय स्थान, उपकरण और जनशक्ति ताकि स्टॉक एक्सचेंज की ओर से प्रभावी ढंग से कार्यकलापों का निर्वहन किया जा सके।

ड. नियुक्ति की शर्तें

प्राधिकृत व्यक्ति की नियुक्ति की शर्तें निम्नानुसार हैं :--

1. स्टॉक ब्रोकर प्राधिकृत व्यक्ति के द्वारा किए गए अपेक्षा और उपेक्षा के सभी कृताकृतों के लिए उत्तरदायी होंगे।

2. प्राधिकृत व्यक्ति के सभी कृताकृत स्टॉक ब्रोकर के कृताकृत माने जाएंगे।

3. प्राधिकृत व्यक्ति अपने नाम या खाते में धन या प्रतिभूति प्राप्त या भुगतान नहीं करेगा। प्रतिभूतियों और निधियों से संबंधित सभी प्राप्तियां और भुगतान स्टॉक ब्रोकर के नाम या खाते में की जाएंगी।
4. प्राधिकृत व्यक्ति अपनी सेवाओं के लिए पारिश्रमिक-शुल्क, प्रभार, कमीशन, वेतन आदि केवल स्टॉक ब्रोकर से प्राप्त करेगा और वह ग्राहकों से कोई राशि नहीं वसूलेगा।
5. एक व्यक्ति को एक ही स्टॉक एक्सचेंज में एक से अधिक स्टॉक ब्रोकरों द्वारा प्राधिकृत व्यक्ति के रूप में नियुक्त नहीं किया जाएगा।
6. प्राधिकृत व्यक्ति के भागीदार या निदेशक को उसी स्टॉक एक्सचेंज पर प्राधिकृत व्यक्ति के साथ में नियुक्त नहीं किया जाएगा।
7. स्टॉक ब्रोकर और प्राधिकृत व्यक्ति एक्सचेंज द्वारा विनिर्दिष्ट फार्म में लिखित में कहर करेंगे। कहर में अन्य बातों के साथ-साथ कार्यकलापों, उत्तरदायित्वों, सूचना की गोपनीयता, कमीशन बंटवारा, समाप्ति खंड आदि की सीमा भी शामिल होगी।

#### च. अनुमोदन वापस लेना

प्राधिकृत व्यक्ति को दिया गया अनुमोदन स्टॉक एक्सचेंज द्वारा वापस लिया जा सकेगा :--

1. संबंधित ब्रोकर या प्राधिकृत व्यक्ति से इस आशय का अनुरोध प्राप्त होने पर, स्टॉक एक्सचेंज द्वारा निर्धारित अपेक्षाओं के अनुपालनाधीन, या
2. इस बात ये संतुष्ट होने पर कि प्राधिकृत व्यक्ति को बने रहना निवेशकों या प्रतिभूति बाजार के हित के प्रतिकूल है या प्राधिकृत व्यक्ति आगामी तारीख को उक्त खण्ड-4 के तहत अयोग्य हो जाता है।

#### छ. स्टॉक ब्रोकर के दायित्व

1. स्टॉक ब्रोकर अपने प्राधिकृत व्यक्ति(यों) और या उनके कर्मचारियों के कृताकृतों तथा उनसे उत्पन्न होने वाले दायित्वों के लिए उत्तरदायी होगा।
2. यदि स्टॉक ब्रोकर द्वारा किसी प्राधिकृत व्यक्ति को ट्रेडिंग टर्मिनल उपलब्ध कराया जाता है, तो उस स्थान को शाखा कार्यालय माना जाएगा जहां ऐसा ट्रेडिंग टर्मिनल स्थित है।
3. स्टॉक ब्रोकर प्रत्येक शाखा पर अतिरिक्त सूचना प्रदर्शित करेगा जैसे उस शाखा के प्रभारी प्राधिकृत व्यक्ति का विवरण, प्राधिकृत व्यक्ति के माध्यम से लेन-देन के लिए टाइम लाइन आदि जैसा कि स्टॉक एक्सचेंज विनिर्दिष्ट करे।
4. स्टॉक ब्रोकर प्राधिकृत व्यक्ति संबंधी परिवर्तन, यदि कोई है, के बारे में उस शाखा के सभी रजिस्ट्रीकृत ग्राहकों को कम से कम तीस दिन पहले ऐसे परिवर्तन के बारे में सूचित करेगा।
5. स्टॉक ब्रोकर प्राधिकृत व्यक्ति को सौंपी गई शाखाओं का आवधिक निरीक्षण करेगा और उनके द्वारा किए गए कार्यों को रिकार्ड करेगा।

6. ग्राहक स्टॉक ब्रोकर के पास ही रजिस्ट्रीकृत होंगे। ग्राहक (क्लाइंट) की निधियां और प्रतिभूतियां सीधे स्टॉक ब्रोकर और ग्राहक के बीच तय की जाएंगी और सभी दस्तावेज जैसे संविदा नोट, निधि और प्रतिभूति विवरण ग्राहक को स्टॉक ब्रोकर द्वारा जारी की जाएंगी। प्राधिकृत व्यक्ति दस्तावेज प्राप्त करने और समझौता करने में प्रशासनिक सहायता प्रदान करेगा, लेकिन ग्राहक को अपने निजी नाम से कोई दस्तावेज जारी नहीं करेगा। ग्राहक की कोई भी निधि/प्रतिभूति प्राधिकृत व्यक्ति के खाते में नहीं डाली जाएगी।
7. प्राधिकृत व्यक्ति के कार्यों में किसी प्रकार की अनियमितता पायी जाने पर, स्टॉक ब्रोकर अनुमोदन वापस लेने के लिए अनुरोध करेगा, प्राधिकृत व्यक्ति को देय धन को निवेशकों की समस्या का समाधान होने तक रोके रखेगा, प्राधिकृत व्यक्ति के कार्यास्थल से संबंधित सभी निवेशकों को सावधान करेगा युलिस में शिकायत दर्ज करेगा, और निवेशकों तथा बाजार के हितों की रक्षा के लिए अपेक्षित सभी उपाय करेगा।

#### ज. एक्सचेंज के दायित्व

(क) स्टॉक एक्सचेंज सभी प्राधिकृत व्यक्तियों का डेटाबेस रखेगा जिसमें निम्नलिखित शामिल हैं :--

1. प्राधिकृत व्यक्ति का पैन (PAN) नम्बर और भागीदार या बाड़ी कॉरपोरेट के मामले में, सभी भागीदारों या निवेशकों, यथास्थिति का पैन नम्बर।
2. ब्रोकर का ब्यौरा जिसके पास प्राधिकृत व्यक्ति रजिस्ट्रीकृत है।
3. प्राधिकृत व्यक्ति(यों) को सौंपी गई शाखा(ओं) का स्थान।
4. प्रत्येक प्राधिकृत व्यक्ति को दिए गए टर्मिनलों की संख्या और उनका विवरण।
5. प्राधिकृत व्यक्ति का अनुमोदन वापिस लेना।
6. प्राधिकृत व्यक्ति की स्थिति या संगठन में परिवर्तन।
7. एक्सचेंज द्वारा प्राधिकृत व्यक्ति के विरुद्ध की गई प्रशासनिक कार्यवाही।

उक्त एक के सिवाय सभी उपर्युक्त विवरण स्टॉक एक्सचेंज की वेबसाइट पर उपलब्ध कराए जाएंगे।

(ख) स्टॉक ब्रोकर का निरीक्षण करते समय, स्टॉक एक्सचेंज उन शाखाओं और उनके द्वारा किए गए कार्यों के रिकार्डों का भी निरीक्षण करेगा जहां प्राधिकृत व्यक्तियों के टर्मिनल स्थित हैं।

(ग) ग्राहक और प्राधिकृत व्यक्ति के बीच विवाद को ग्राहक और स्टॉक ब्रोकर के बीच विवाद माना जाएगा और तदनुसार इसका समाधान स्टॉक एक्सचेंज द्वारा किया जाएगा।

(घ) अनुशासनिक कार्यवाही के कारण प्राधिकृत व्यक्ति का अनुमोदन वापस लेने की स्थिति में, स्टॉक एक्सचेंज फ्रैंस रिलीज जारी करेगा और ऐसे प्राधिकृत व्यक्तियों के नाम रद्द करने के कारण देते हुए वेबसाइट पर डालेगा।

## उपविधि संख्या 268 में संशोधन

विद्यमान उपविधि	प्रस्तावित उपविधि
इस अध्याय में 'विवाद' शब्द में सदस्यों और गैर सदस्यों ('गैर सदस्य' शब्द में सदस्य का ग्राहक (क्लाइंट), अंडरराइटर और ऐसा कोई व्यक्ति शामिल हो जिसे सदस्य दलाली में से हिस्सा देता है जिसमें रेमीसीयर, प्राधिकृत लिपिक, सब ब्रोकर या कर्मचारी के अलावा और भी शामिल हैं) के बीच एक्सचेंज के नियमों, उपविधियों, परिपत्रों, आदेशों और विनियमों एवं भारतीय प्रतिभूति विनियम बोर्ड। केन्द्र सरकार द्वारा समय—समय पर जारी अनुदेशों/मार्गदर्शी सिद्धांतों/निर्देशों/नियमों/विनियमों के अध्यधीन या उनके अनुषंगिक किसी बात के संबंध में किए गए सौदों, संव्यवहारों, लेन—देनों या संविदाओं से या संबंध में उत्पन्न सभी दावें, शिकायतें, मतभेद और विवाद शामिल होंगे।	इस अध्याय में 'विवाद' शब्द में सदस्यों और गैर सदस्यों ('गैर सदस्य' शब्द में सदस्य का ग्राहक (क्लाइंट), अंडरराइटर और ऐसा कोई व्यक्ति शामिल हो जिसे सदस्य दलाली में से हिस्सा देता है जिसमें रेमीसीयर, प्राधिकृत लिपिक, सब ब्रोकर या कर्मचारी के अलावा और भी शामिल हैं) के बीच एक्सचेंज के नियमों, उपविधियों, परिपत्रों, आदेशों और विनियमों एवं भारतीय प्रतिभूति विनियम बोर्ड। केन्द्र सरकार द्वारा समय—समय पर जारी अनुदेशों/मार्गदर्शी सिद्धांतों/निर्देशों/नियमों/विनियमों के अध्यधीन या उनके अनुषंगिक किसी बात के संबंध में किए गए सौदों, संव्यवहारों, लेन—देनों या संविदाओं से या संबंध में उत्पन्न सभी दावें, शिकायतें, मतभेद और विवाद शामिल होंगे। ग्राहक व प्राधिकृत व्यक्ति के बीच का विवाद, जैसा कि उपविधि 199कक में परिभाषित है, ग्राहक एवं स्टॉक ब्रोकर के बीच समझा जावेगा।

नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड

मुंबई-400051

कम्पनी के संगम अनुच्छेदों को नीचे दी गई सीमा तक बदला जाता है :--

कम्पनी के संगम अनुच्छेदों के अनुच्छेद 159 को हटाया जाता है।

कृते नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड

आर. जयकुमार  
अधिकृत हस्ताक्षरकर्ता

टिप्पणी :-- हिन्दी पाठ में भिनता की स्थिति में अंग्रेजी पाठ अभिभावी होगा।

Vivek Transporters (Ex-Servicemen) Pvt. Ltd.  
(Voluntary Winding-up by Members)

Notice

Notice is hereby given that the members of the Vivek Transporters (Ex-Servicemen) Pvt. Ltd., having its registered office at A-5/1, Vasant Vihar, New Delhi-110057, at the Extra Ordinary General Meeting convened on 15.01.2010, at its registered office have resolved to wind up the Company voluntarily through its members and accordingly have appointed M/s A.L. Sehgal & Co., Chartered Accountants as the liquidator of the Company.

For *Vivek Transporters (Ex-Servicemen) Pvt Ltd*

*Virender Awasty  
(Director)*

*Date: 15.01.2010  
Place: New Delhi*

**FORM NO: 151**

*[Rule 315]*

*Companies Act, 1956*

*Member's Voluntary Winding-up*

**Notice of appointment of liquidator pursuant to Section 516**

Name of Company : *Vivek Transporters (Ex-Servicemen) Pvt. Ltd.*

Nature of Business : *Transporation*

Address of Regd. Office : *A-5/1, Vasant Vihar, New Delhi-110057*

Name and Address of Liquidator : *A.L. Sehgal & Co., 1102, Prakashdeep Building,  
7, Tolstoy Marg, New Delhi 110001*

Date of Appointment : *15.01.2010*

By Whom Appointed : *Shareholders in their EGM held on 15.01.2010*

For *Vivek Transporters (Ex-Servicemen) Pvt. Ltd*

  
*Vishal Sehgal  
(Liquidator)*

*Date: 21.01.2010  
Place: New Delhi*

**DELHI STOCK EXCHANGE LIMITED  
NEW DELHI-110002**

SEBI vide its Circular No. MIRSD/DR-1/Cir-16/09 dated November 6, 2009 (copy of circular attached), relating to Market Access through Authorised Persons advised to make necessary changes in the Bye Laws the Exchange. Delhi Stock Exchange invites comments/criticism on proposed insertion of the bye-law no. 199AA and amendment in bye-law no. 268 from all persons who may be affected thereby. The comments/criticism must reach the office of the Company Secretary, Delhi Stock Exchange Limited, DSE House, 3/1, Asaf Ali Road, New Delhi-110002 within 15 days from the date of publication.

Accordingly, proposed Bye-law is as under:

**199AA Market Access through Authorised Persons**

**a. Definition of "Authorised Person"**

Any person - individual, partnership firm, LLP or body corporate.- who is appointed as such by a stock broker (including trading member) and who provides access to trading platform of a stock exchange as an agent of the stock broker.

**b. Appointment of Authorised Person**

A stock broker may appoint one or more authorised person(s) after obtaining specific prior approval from the stock exchange concerned for each such person. The approval as well as the appointment shall be for specific segment of the exchange.

**c. Procedure for Appointment**

i. Stock broker shall select a person in compliance with the criteria laid down by the Exchange and this framework for appointment as an authorized person and forward the application of the person to stock exchange for approval.

ii. On receipt of the aforesaid application, the stock exchange:

- a) may accord approval on satisfying itself that the person is eligible for appointment as authorized person, or
- b) may refuse approval on satisfying itself that the person is not eligible for appointment as authorized person.

**d. Eligibility Criteria**

i. An individual is eligible to be appointed as authorised person if he:

- a) is a citizen of India;
- b) is not less than 18 years of age;
- c) has not been convicted of any offence involving fraud or dishonesty;
- d) has good reputation and character;
- e) has passed at least 10th standard or equivalent examination from an institution
- f) recognized by the Government; and
- g) has the certification, as applicable to approved user / sales personnel of the respective segment, and undertakes to continue to have valid certification thereafter.
- h) (Stock Exchange shall prescribe appropriate certification if no such certification is prescribed under SEBI Regulations and monitor compliance.)

- ii. A partnership firm, LLP or a body corporate is eligible to be appointed as authorized person:
  - a) If all the partners or directors, as the case may be, comply with the requirements contained in clause (i) above.
  - b) The object clause of the partnership deed or of the Memorandum of Association contains a clause permitting the person to deal in securities business.
- iii. The person shall have the necessary infrastructure like adequate office space, equipment and manpower to effectively discharge the activities on behalf of the stock broker.

#### e. Conditions of Appointment

The following are the conditions of appointment of an authorised person:

- i. The stock broker shall be responsible for all acts of omission and commission of the authorized person.
- ii. All acts of omission and commission of the authorized person shall be deemed to be those of the stock broker.
- iii. The authorized person shall not receive or pay any money or securities in its own name or account. All receipts and payments of securities and funds shall be in the name or account of stock broker.
- iv. The authorised person shall receive his remuneration - fees, charges, commission, salary, etc. - for his services only from the stock broker and he shall not charge any amount from the clients.
- v. A person shall not be appointed as authorized person by more than one stock broker on the same stock exchange.
- vi. A partner or director of an authorised person shall not be appointed as an authorised person on the same stock exchange.
- vii. The stock broker and authorised person shall enter into written agreement(s) in the form(s) specified by Exchange. The agreement shall inter-alia cover scope of the activities, responsibilities, confidentiality of information, commission sharing, termination clause, etc.

#### f. Withdrawal of Approval

Approval given to an authorised person may be withdrawn by the Stock Exchange:

- i. on receipt of a request to that effect from the stock broker concerned or the authorised person, subject to compliance with the requirements prescribed by the stock exchange, or
- ii. on being satisfied that the continuation of authorised person is detrimental to the interest of investors or securities market or the authorised person at a subsequent date becomes ineligible under clause 4 above.

#### g. Obligations of Stock Broker

- i. The stock broker shall be responsible for all acts of omission and commission of his authorised person(s) and/or their employees, including liabilities arising there from.
- ii. If any trading terminal is provided by the stock broker to an authorised person, the place where such trading terminal is located shall be treated as branch office of the stock broker.

- iii. Stock broker shall display at each branch office additional information such as particulars of authorised person in charge of that branch, time lines for dealing through authorised person, etc., as may be specified by the stock exchange.
- iv. Stock broker shall notify changes, if any, in the authorised person to all registered clients of that branch at least thirty days before the change.
- v. Stock broker shall conduct periodic inspection of branches assigned to authorised persons and records of the operations carried out by them.
- vi. The client shall be registered with stock broker only. The funds and securities of the clients shall be settled directly between stock broker and client and all documents like contract note, statement of funds and securities would be issued to client by stock broker. Authorised person may provide administrative assistance in procurement of documents and settlement, but shall not issue any document to client in its own name. No fund/securities of clients shall go to account of authorized person.
- vii. On noticing irregularities, if any, in the operations of authorised person, stock broker shall seek withdrawal of approval, withhold all moneys due to authorised person till resolution of investor problems, alert investors in the location where authorised person operates, file a complaint with the police, and take all measures required to protect the interest of investors and market.

#### **h. Obligations of Exchange**

- a) The stock exchange shall maintain a database of all the authorised persons which shall include the following:
  - I. PAN Number of authorised person and in case of partnership or body corporate, PAN Number of all the partners or directors as the case may be.
  - II. Details of the broker with whom the authorised person is registered.
  - III. Locations of branch assigned to authorised person(s).
  - IV. Number of terminals and their details, given to each authorised person.
  - V. Withdrawal of approval of authorised person.
  - VI. Change in status or constitution of authorised person.
  - VII. Disciplinary action taken by the Exchange against the authorised person.

All the above details, except I above, shall be made available on web site of the stock exchange.

- b) While conducting the inspection of the stock broker, the stock exchange shall also conduct inspection of branches where the terminals of authorised persons are located and records of the operations carried out by them.
- c) Dispute between a client and an authorised person shall be treated as dispute between the client and the stock broker and the same shall be redressed by the stock exchange accordingly.
- d) In case of withdrawal of approval of authorised person due to disciplinary action, the stock exchange shall issue a press release and disseminate the names of such authorised persons on its website citing the reason for cancellation.

Amendment in Bye-Law no 268

Existing Bye-Laws	Proposed Bye-Laws
268. In this chapter the term "Dispute" shall include all claims, complaints, differences and disputes between members and non-members (the term "non-member" shall include a client of the member, an underwriter and any person with whom the member shares brokerage including, but not limited to, a remisier, authorised clerk, sub-broker or employee) arising out of or in relation to any bargains, dealings, transactions or contracts made subject to the Rules, Bye-laws, circulars, Orders and Regulations of the Exchange as well as instructions / guidelines / directions / rules / regulations issued by Securities Exchange Board of India/Central Government from time to time or with reference to anything incidental thereto.	268. In this chapter the term "Dispute" shall include all claims, complaints, differences and disputes between members and non-members (the term "non-member" shall include a client of the member, an underwriter and any person with whom the member shares brokerage including, but not limited to, a remisier, authorised clerk, sub-broker or employee) arising out of or in relation to any bargains, dealings, transactions or contracts made subject to the Rules, Bye-laws, circulars, Orders and Regulations of the Exchange as well as instructions / guidelines / directions / rules / regulations issued by Securities Exchange Board of India/Central Government from time to time or with reference to anything incidental thereto. Dispute between a client and an authorised person as defined in bye law no 199AA shall be treated as dispute between the client and the stock broker.

## NATIONAL STOCK EXCHANGE OF INDIA LIMITED

Mumbai-400051

The Articles of Association of the Company are altered to the extent given  
hereunder :—

The Article 159 of the Articles of Association of the Company is deleted.

*For National Stock Exchange of India Limited*

R. JAYAKUMAR  
Authorised Signatory

